

- The modern Bayesian conception of confirmation uses *probabilistic relevance* as its main conceptual tool.
- Keynes [31], and his teacher W.E. Johnson [28], were early proponents of the logical and epistemic importance of probabilistic relevance. But, they *mainly* talked about *high conditional probability* (more on that key ambiguity below).
- Nicod [35], taking Keynes as his point of departure, offered an instantial theory based explicitly on probabilistic relevance. “Positive instances raise the probability of laws.”
- Later, Hempel [24] moved away from Nicodian *probabilistic relevance* instantial confirmation theory, in favor of an account based on *deductive* relations. This was a set-back!
- Largely because of (a) the early focus on high conditional probability, and (b) Hempel’s deductive set-back, probabilistic relevance approaches took time to catch-on.
- Further complications were raised by Carnap [3], who will be the main historical protagonist of today’s lecture.

- In the first edition of LFP, Carnap [3] undertakes a precise probabilistic explication of the concept of confirmation. This is where modern confirmation theory was born (in sin).
- Carnap was interested not only in the qualitative confirmation relation. He also wanted explications of comparative and quantitative confirmation concepts.
 - **Qualitative.** E inductively supports H .
 - **Comparative.** E supports H more strongly than E' supports H' .
 - **Quantitative.** E inductively supports H to degree r .
- Carnap begins by clarifying the *explicandum* (the confirmation concept) in various ways, including:
 - **Qualitative.** $(\star) E$ gives some (positive) evidence for H .
- Note two things. First, (\star) sounds *epistemic* (not *logical*). Second, (\star) sounds like it involves (positive) *relevance*.
- Strangely, Carnap proceeds (in LFP₁) to offer a *logical* account of confirmation that does *not* involve relevance.
- These were the two original sins of Bayesian confirmation...

- In the 1st ed. of LFP, Carnap characterizes “the degree to which E confirms H ” as $c(H, E) = \Pr(H | E)$, which leads to:
 - **Quantitative.** $\Pr(H | E) = r$.
 - **Comparative.** $\Pr(H | E) > \Pr(H' | E')$.
 - **Qualitative.** $\Pr(H | E) > t$ (typically, with “threshold” $t > \frac{1}{2}$).
 - Doesn’t sound like (\star) . More on this dissonance below.
- Like Hempel, Carnap wanted a *logical* explication of confirmation (as a relation between sentences in \mathcal{L} s).
- For Carnap, this meant that the probability functions used in confirmation theory must *themselves* be “logical”.
- This leads naturally to the Carnapian project of providing a “logical explication” of conditional probability $\Pr(\cdot | \cdot)$ *itself*.
- Here, Carnap (like Nicod) was influenced by Keynes [31], who believed there were “partial entailments”. I’m skeptical (as are most modern Bayesians). See my [18] for discussion.
- Hempel’s theory of confirmation satisfies the following:
 - **(SCC)** If E confirms H , then E confirms all consequences of H .

- In LFP₁, Carnap describes a counterexample to Hempel’s (SCC), which presupposes a more (\star) -like **qualitative** conception of confirmation. There, he presupposes:
 - **Qualitative.** E confirms H iff $\Pr(H | E) > \Pr(H)$.
- This *probabilistic relevance* conception *violates* (SCC), whereas the previous Pr-threshold conception *implies* (SCC).
- Popper [36] notes this tension in LFP. Largely in response to Popper, Carnap wrote a second edition of LFP [4], which includes a preface acknowledging an “ambiguity” in LFP₁:
 - **Firmness.** The degree to which E confirms _{f} H :

$$c_f(H, E) = \Pr(H | E).$$
 - **Increase in Firmness.** The degree to which E confirms _{i} H :

$$c_i(H, E) = f[\Pr(H | E), \Pr(H)]$$

f measures “the degree to which E increases the Pr of H .”
- The 1st ed. of LFP was mainly about firmness, and the 2nd edition only adds the preface, which says very little about c_i . Specifically, no function f is rigorously defended there.

- c_i is more similar to (*) than c_f is. To see this, note that we can have $\Pr(H | E) > r$ even if E lowers the probability of H .
- Example: Let H be the hypothesis that John does *not* have HIV, and let E be a *positive* test result for HIV from a highly reliable test. Plausibly, in such cases, we could have both:
 - $\Pr(H | E) > t$, for just about any threshold value t , but
 - $\Pr(H | E) < \Pr(H)$, since E lowers the probability of H .
- So, if we adopt Carnap's c_f -explication, then we must say that E confirms H in such cases. But, in (*)-terms, this implies E provides some *positive evidential support for H!*
- I take it we don't want to say *that*. Intuitively, what we want to say here is that, while H is (still) *highly probable given E*, (nonetheless) E provides (strong!) evidence *against H*.
- Rather than *ambiguity*, I'd say this reflects *confusion* about the nature of the concept (*) Carnap was trying to explicate.
- Carnap [4] concedes that c_i is "more interesting" than c_f .
- Contemporary Bayesians would agree with this. They've since embraced a probabilistic relevance conception [38].

- (EQC) If E confirms H , then E confirms anything equivalent to H .
- (EC) If E entails H , then E confirms H .
- (CC) If E confirms both H and H' , then H and H' are consistent.
- (M) If E confirms H , then any E' stronger than E confirms H .
- (SCC) If E confirms H , then E confirms any H' weaker than H .
- (CCC) If E confirms H , then E confirms any H' stronger than H .

	EQC	EC	CC	M	SCC	CCC
Firmness	YES	YES ³	YES ⁴	NO	YES	NO
Increase in Firmness	YES	YES ⁵	NO	NO	NO	NO

- Four counterexamples for increase in firmness:
 - (CC) E = card is black, H = card is A♠, H' = card is J♣.
 - (M) E = card is black, H = card is A♠, E' = card is J♣.
 - (SCC) E = card is black, H = card is A♠, and H' = card is *some* ace.
 - (CCC) E = card is A♠, H = card is *some* ace, and H' = card is A♦.

³Provided that $\Pr(E) \neq 0$.

⁴Provided that the "threshold" value $t > \frac{1}{2}$.

⁵Provided that $\Pr(H) \in (0, 1)$, and $\Pr(E) \in (0, 1)$.

- Many candidate functions f satisfy the *relevance* constraint:
 - (R) $f[\Pr(H | E), \Pr(H)] \geq 0$ iff $\Pr(H | E) \geq \Pr(H)$
- I'll say much more about the plethora of Pr-relevance measures, below. But, for now, back to *Carnapian c_i*.
- From an inductive-logical point of view, confirmation measures should *quantitatively generalize* entailment:
 - (D) Provided that both E and H are *contingent* claims $c_i(H, E)$ should be *maximal* if $E \models H$, and *minimal* if $E \models \sim H$. [Note: $\Pr(H | E)$ satisfies *this*, but *not R*.]
- Kemeny & Oppenheim [30] used this consideration (and others) to argue that the best explication of $c_i(H, E)$ is:

$$F(H, E) = \frac{\Pr(E | H) - \Pr(E | \sim H)}{\Pr(E | H) + \Pr(E | \sim H)}$$

- F can be expressed as a function f of $\Pr(H | E)$ and $\Pr(H)$, and it satisfies R , D , and various other IL desiderata.
- One can use F to define **comparative** [$F(H, E) > F(H', E')$] and **qualitative** [$F(H, E) > 0$] confirmation_{*i*} concepts.

- Bayesianism assumes that the *epistemic* degrees of belief (that is, the *credences*) of rational agents are *probabilities*.
- Let $\Pr(H)$ be the degree of belief that a rational agent a assigns to H at some time t (call this a 's "prior" for H).
- Let $\Pr(H | E)$ be the degree of belief that a would assign to H (just after t) were a to learn E at t (a 's "posterior" for H).
- Toy Example: Let H be the proposition that a card sampled from some deck is a ♠, and E assert that the card is black.
- Making the standard assumptions about sampling from 52-card decks, $\Pr(H) = \frac{1}{4}$ and $\Pr(H | E) = \frac{1}{2}$. So, (learning that) E (or supposing that E) *raises the probability of H*.
- Following Popper [36], Bayesians define confirmation in a way that is *formally* very similar to Carnap's c_i -explication.
- For Bayesians, E confirms H for an agent a at a time t iff $\Pr(H | E) > \Pr(H)$, where \Pr captures a 's credences at t .
- While this is *formally* very similar to Carnap's c_i , it uses credences as opposed to "logical" probabilities [38], [18].

- There are *many logically equivalent* (but *syntactically distinct*) ways of saying E confirms H , in the Bayesian sense.
- Here are the three most common ways:
 - E confirms H iff $\Pr(H | E) > \Pr(H)$. [$\frac{1}{2} > \frac{1}{4}$]
 - E confirms H iff $\Pr(E | H) > \Pr(E | \sim H)$. [$1 > \frac{1}{3}$]
 - E confirms H iff $\Pr(H | E) > \Pr(H | \sim E)$. [$\frac{1}{2} > 0$]
- By taking differences or ratios of the left/right sides of such inequalities, various confirmation *measures* $c(H, E)$ emerge.
- A plethora of such confirmation measures have been used in the literature of Bayesian confirmation theory. See my thesis [12] for a survey. Here are the four most popular c 's:
 - $d(H, E) \stackrel{\text{def}}{=} \Pr(H | E) - \Pr(H)$
 - $r(H, E) \stackrel{\text{def}}{=} \log \left[\frac{\Pr(H | E)}{\Pr(H)} \right] \doteq \frac{\Pr(H | E) - \Pr(H)}{\Pr(H | E) + \Pr(H)}$
 - $l(H, E) \stackrel{\text{def}}{=} \log \left[\frac{\Pr(E | H)}{\Pr(E | \sim H)} \right] \doteq \frac{\Pr(E | H) - \Pr(E | \sim H)}{\Pr(E | H) + \Pr(E | \sim H)} = F(H, E)$
 - $s(H, E) \stackrel{\text{def}}{=} \Pr(H | E) - \Pr(H | \sim E)$

- Question: do these (and other) measures disagree only *conventionally*, or do they disagree in substantive ways?
- Note: mere *numerical* differences between measures are not important, since they need not affect *ordinal* judgments of what is more/less well confirmed than what (by what).
- If two measures c_1 and c_2 agree on *all comparisons*, then we say that c_1 and c_2 are *ordinally equivalent* ($c_1 \doteq c_2$). That is:

$$c_1 \doteq c_2 \stackrel{\text{def}}{=} c_1(H, E) \geq c_1(H', E') \text{ iff } c_2(H, E) \geq c_2(H', E')$$
- **Fact.** No two of $\{d, r, l, s\}$ are ordinally equivalent.
- OK, but do they disagree on *important* applications or in *important* cases? Unfortunately, they disagree *radically*.
- **Fact.** *Almost every* argument/application of Bayesian confirmation in the literature is valid for *only some* choices of d, r, l, s . I call this *the problem of measure sensitivity*.
- Note: things only get *worse* if you consider still *other* relevance measures (and there are many others out there).

- Here is an incomplete list of examples of the problem of measure-sensitivity. I'm happy to discuss any of these.
 - Hempel's Ravens Paradox [22], [17]
 - Goodman's "Grue" Paradox [8], [39], [20]
 - The Tacking Problem [37], [7], [14], [21]
 - The Confirmational Value of Evidential Variety [25], [13], [1]
 - The Old Evidence Problem [5], [29], [9], [15]
 - The Likelihood Principle/Law [33], [19], [40]
 - The Monty Hall Problem [2]
 - The Virtue of Unification [34], [32]
 - Earman's Old Evidence *Critique* of Bayesianism [7], [16]
 - Gillies's Popper-Miller *Critique* of Bayesianism [23]
- See [11] and [12] for further examples and discussion.
- We need some *normative principles* to narrow the field ...

- Consider the following two propositions concerning a card c , drawn at random from a standard deck of playing cards:

$$E: c \text{ is the ace of spades. } H: c \text{ is some spade.}$$
- I take it as intuitively clear and uncontroversial that:
 - The degree to which E confirms $H \neq$ the degree to which H confirms E , since $E \models H$, but $H \not\models E$. [$c(H, E) \neq c(E, H)$]
 - The degree to which E confirms $H \neq$ the degree to which $\sim E$ disconfirms H , since $E \models H$, $\sim E \not\models \sim H$. [$c(H, E) \neq -c(H, \sim E)$]
- Therefore, *no adequate measure of confirmation c should be such that either $c(H, E) = c(E, H)$ or $c(H, E) = -c(H, \sim E)$ for all E and H and for all probability functions \Pr . I'll call these two symmetry desiderata S_1 and S_2 , respectively.*
- Note: for all H, E , and for all \Pr , $r(H, E) = r(E, H)$ and $s(H, E) = -s(H, \sim E)$. That is, r violates S_1 and s violates S_2 .
- Both d and l satisfy these S -desiderata. This narrows the field to d and l [10]. We can narrow the field further still ...

- If we think of inductive logic as a *quantitative generalization* of deductive logic, then the following *logical desideratum* seems natural (it's also implicit in the previous example):
 - (†) **Quantitative Rendition.** $c(H, E)$ should be *maximal* when $E \models H$ and $c(H, E)$ should be *minimal* when $E \models \sim H$.
 - (†) **Comparative Rendition.** If $E \models H$ but $E' \not\models H'$, then the following inequality should hold: $c(H, E) \geq c(H', E')$.
- The measure d violates these desiderata. For, when $E \models H$:
 $d(H, E) = \Pr(H | E) - \Pr(H) = 1 - \Pr(H) = \Pr(\sim H)$
- So, if the prior probability of H is sufficiently high, then (according to d) E will confirm H *very weakly, even if $E \models H$.*
- From an inductive-logical point of view, this is absurd, since *the logical strength of a valid argument should not depend on how probable its conclusion is* (or on its truth-value).
- Indeed, of all the Bayesian measures of confirmation that have been used in the literature (*so far* [6]!), only l (or its ordinal equivalents) satisfy our three desiderata: $S_1, S_2, (\dagger)$.

- Seven properties of $c(H, E)$, for contingent H, E, H', E', X :
 - (1) If $E \models H$ and $E \not\models H'$, then $c(H, E) \geq c(H', E)$. [19]
 - (2) If $\Pr(E | H) > \Pr(E | H')$, then $c(H, E) > c(H', E)$. [19]
 - (3) If $\Pr(H | E) > \Pr(H | E')$, then $c(H, E) > c(H, E')$. [17]
 - (4) $c(H, E) = c(E, H)$. [10]
 - (5) $c(H, E) = -c(H, \sim E)$. [10]
 - (6) $c(H, E) = -c(\sim H, E)$. [10]
 - (7) If $H \models E$, then $c(H, E) > c(H \& X, E)$, for any X . [14]

	Does Measure have property?						
Relevance Measure	(1)	(2)	(3)	(4)	(5)	(6)	(7)
$d(H, E)$	NO	NO	YES	NO	NO	YES	YES
$r(H, E)$	NO	YES	YES	YES	NO	NO	NO
$l(H, E)$	YES	NO	YES	NO	NO	YES	YES
$s(H, E)$	NO	NO	NO	NO	YES	YES	YES

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