

- The modern Bayesian conception of confirmation uses *probabilistic relevance* as its main conceptual tool.
- Keynes [31], and his teacher W.E. Johnson [28], were early proponents of the logical and epistemic importance of probabilistic relevance. But, they *mainly* talked about *high conditional probability* (more on that key ambiguity below).
- Nicod [35], taking Keynes as his point of departure, offered an instantial theory based explicitly on probabilistic relevance. “Positive instances raise the probability of laws.”
- Later, Hempel [24] moved away from Nicodian *probabilistic relevance* instantial confirmation theory, in favor of an account based on *deductive* relations. This was a set-back!
- Largely because of (a) the early focus on high conditional probability, and (b) Hempel’s deductive set-back, probabilistic relevance approaches took time to catch-on.
- Further complications were raised by Carnap [3], who will be the main historical protagonist of today’s lecture.

- In the first edition of LFP, Carnap [3] undertakes a precise probabilistic explication of the concept of confirmation. This is where modern confirmation theory was born (in sin).
- Carnap was interested not only in the qualitative confirmation relation. He also wanted explications of comparative and quantitative confirmation concepts.
  - **Qualitative.**  $E$  inductively supports  $H$ .
  - **Comparative.**  $E$  supports  $H$  more strongly than  $E'$  supports  $H'$ .
  - **Quantitative.**  $E$  inductively supports  $H$  to degree  $r$ .
- Carnap begins by clarifying the *explicandum* (the confirmation concept) in various ways, including:
  - **Qualitative.**  $(\star) E$  gives some (positive) evidence for  $H$ .
- Note two things. First,  $(\star)$  sounds *epistemic* (not *logical*). Second,  $(\star)$  sounds like it involves (positive) *relevance*.
- Strangely, Carnap proceeds (in LFP<sub>1</sub>) to offer a *logical* account of confirmation that does *not* involve relevance.
- These were the two original sins of Bayesian confirmation...

- In the 1st ed. of LFP, Carnap characterizes “the degree to which  $E$  confirms  $H$ ” as  $c(H, E) = \Pr(H | E)$ , which leads to:
  - **Quantitative.**  $\Pr(H | E) = r$ .
  - **Comparative.**  $\Pr(H | E) > \Pr(H' | E')$ .
  - **Qualitative.**  $\Pr(H | E) > t$  (typically, with “threshold”  $t > \frac{1}{2}$ ).
    - Doesn’t sound like  $(\star)$ . More on this dissonance below.
- Like Hempel, Carnap wanted a *logical* explication of confirmation (as a relation between sentences in  $\mathcal{L}$ s).
- For Carnap, this meant that the probability functions used in confirmation theory must *themselves* be “logical”.
- This leads naturally to the Carnapian project of providing a “logical explication” of conditional probability  $\Pr(\cdot | \cdot)$  *itself*.
- Here, Carnap (like Nicod) was influenced by Keynes [31], who believed there were “partial entailments”. I’m skeptical (as are most modern Bayesians). See my [18] for discussion.
- Hempel’s theory of confirmation satisfies the following:
  - (SCC) If  $E$  confirms  $H$ , then  $E$  confirms all consequences of  $H$ .

- In LFP<sub>1</sub>, Carnap describes a counterexample to Hempel’s (SCC), which presupposes a more  $(\star)$ -like **qualitative** conception of confirmation. There, he presupposes:
  - **Qualitative.**  $E$  confirms  $H$  iff  $\Pr(H | E) > \Pr(H)$ .
- This *probabilistic relevance* conception *violates* (SCC), whereas the previous Pr-threshold conception *implies* (SCC).
- Popper [36] notes this tension in LFP. Largely in response to Popper, Carnap wrote a second edition of LFP [4], which includes a preface acknowledging an “ambiguity” in LFP<sub>1</sub>:
  - **Firmness.** The degree to which  $E$  confirms <sub>$f$</sub>   $H$ :
 
$$c_f(H, E) = \Pr(H | E).$$
  - **Increase in Firmness.** The degree to which  $E$  confirms <sub>$i$</sub>   $H$ :
 
$$c_i(H, E) = f[\Pr(H | E), \Pr(H)]$$

$f$  measures “the degree to which  $E$  increases the Pr of  $H$ .”
- The 1st ed. of LFP was mainly about firmness, and the 2nd edition only adds the preface, which says very little about  $c_i$ . Specifically, no function  $f$  is rigorously defended there.

- $c_i$  is more similar to  $(*)$  than  $c_f$  is. To see this, note that we can have  $\Pr(H | E) > r$  even if  $E$  lowers the probability of  $H$ .
- Example: Let  $H$  be the hypothesis that John does *not* have HIV, and let  $E$  be a *positive* test result for HIV from a highly reliable test. Plausibly, in such cases, we could have both:
  - $\Pr(H | E) > t$ , for just about any threshold value  $t$ , but
  - $\Pr(H | E) < \Pr(H)$ , since  $E$  lowers the probability of  $H$ .
- So, if we adopt Carnap's  $c_f$ -explication, then we must say that  $E$  confirms  $H$  in such cases. But, in  $(*)$ -terms, this implies  $E$  provides some *positive evidential support for H!*
- I take it we don't want to say *that*. Intuitively, what we want to say here is that, while  $H$  is (still) *highly probable given E*, (nonetheless)  $E$  provides (strong!) evidence *against H*.
- Rather than *ambiguity*, I'd say this reflects *confusion* about the nature of the concept  $(*)$  Carnap was trying to explicate.
- Carnap [4] concedes that  $c_i$  is "more interesting" than  $c_f$ .
- Contemporary Bayesians would agree with this. They've since embraced a probabilistic relevance conception [38].

- (EQC) If  $E$  confirms  $H$ , then  $E$  confirms anything equivalent to  $H$ .
- (EC) If  $E$  entails  $H$ , then  $E$  confirms  $H$ .
- (CC) If  $E$  confirms both  $H$  and  $H'$ , then  $H$  and  $H'$  are consistent.
- (M) If  $E$  confirms  $H$ , then any  $E'$  stronger than  $E$  confirms  $H$ .
- (SCC) If  $E$  confirms  $H$ , then  $E$  confirms any  $H'$  weaker than  $H$ .
- (CCC) If  $E$  confirms  $H$ , then  $E$  confirms any  $H'$  stronger than  $H$ .

	EQC	EC	CC	M	SCC	CCC
Firmness	YES	YES <sup>3</sup>	YES <sup>4</sup>	NO	YES	NO
Increase in Firmness	YES	YES <sup>5</sup>	NO	NO	NO	NO

- Four counterexamples for increase in firmness:
  - (CC)  $E$  = card is black,  $H$  = card is A♠,  $H'$  = card is J♣.
  - (M)  $E$  = card is black,  $H$  = card is A♠,  $E'$  = card is J♣.
  - (SCC)  $E$  = card is black,  $H$  = card is A♠, and  $H'$  = card is *some* ace.
  - (CCC)  $E$  = card is A♠,  $H$  = card is *some* ace, and  $H'$  = card is A♦.

<sup>3</sup>Provided that  $\Pr(E) \neq 0$ .

<sup>4</sup>Provided that the "threshold" value  $t > \frac{1}{2}$ .

<sup>5</sup>Provided that  $\Pr(H) \in (0, 1)$ , and  $\Pr(E) \in (0, 1)$ .

- Many candidate functions  $f$  satisfy the *relevance* constraint:
  - (R)  $f[\Pr(H | E), \Pr(H)] \geq 0$  iff  $\Pr(H | E) \geq \Pr(H)$
- I'll say much more about the plethora of  $\Pr$ -relevance measures, below. But, for now, back to *Carnapian  $c_i$* .
- From an inductive-logical point of view, confirmation measures should *quantitatively generalize* entailment:
  - (D) Provided that both  $E$  and  $H$  are *contingent* claims  $c_i(H, E)$  should be *maximal* if  $E \models H$ , and *minimal* if  $E \models \sim H$ . [Note:  $\Pr(H | E)$  satisfies *this*, but *not R*.]
- Kemeny & Oppenheim [30] used this consideration (and others) to argue that the best explication of  $c_i(H, E)$  is:

$$F(H, E) = \frac{\Pr(E | H) - \Pr(E | \sim H)}{\Pr(E | H) + \Pr(E | \sim H)}$$

- $F$  can be expressed as a function  $f$  of  $\Pr(H | E)$  and  $\Pr(H)$ , and it satisfies  $R$ ,  $D$ , and various other IL desiderata.
- One can use  $F$  to define **comparative** [ $F(H, E) > F(H', E')$ ] and **qualitative** [ $F(H, E) > 0$ ] confirmation <sub>$i$</sub>  concepts.

- Bayesianism assumes that the *epistemic* degrees of belief (that is, the *credences*) of rational agents are *probabilities*.
- Let  $\Pr(H)$  be the degree of belief that a rational agent  $a$  assigns to  $H$  at some time  $t$  (call this  $a$ 's "prior" for  $H$ ).
- Let  $\Pr(H | E)$  be the degree of belief that  $a$  would assign to  $H$  (just after  $t$ ) were  $a$  to learn  $E$  at  $t$  ( $a$ 's "posterior" for  $H$ ).
- Toy Example: Let  $H$  be the proposition that a card sampled from some deck is a ♠, and  $E$  assert that the card is black.
- Making the standard assumptions about sampling from 52-card decks,  $\Pr(H) = \frac{1}{4}$  and  $\Pr(H | E) = \frac{1}{2}$ . So, (learning that)  $E$  (or supposing that  $E$ ) *raises the probability of H*.
- Following Popper [36], Bayesians define confirmation in a way that is *formally* very similar to Carnap's  $c_i$ -explication.
- For Bayesians,  $E$  confirms  $H$  for an agent  $a$  at a time  $t$  iff  $\Pr(H | E) > \Pr(H)$ , where  $\Pr$  captures  $a$ 's credences at  $t$ .
- While this is *formally* very similar to Carnap's  $c_i$ , it uses credences as opposed to "logical" probabilities [38], [18].

- There are *many logically equivalent* (but *syntactically distinct*) ways of saying *E* confirms *H*, in the Bayesian sense.
- Here are the three most common ways:
  - *E* confirms *H* iff  $\Pr(H | E) > \Pr(H)$ . [ $\frac{1}{2} > \frac{1}{4}$ ]
  - *E* confirms *H* iff  $\Pr(E | H) > \Pr(E | \sim H)$ . [ $1 > \frac{1}{3}$ ]
  - *E* confirms *H* iff  $\Pr(H | E) > \Pr(H | \sim E)$ . [ $\frac{1}{2} > 0$ ]
- By taking differences or ratios of the left/right sides of such inequalities, various confirmation *measures*  $c(H, E)$  emerge.
- A plethora of such confirmation measures have been used in the literature of Bayesian confirmation theory. See my thesis [12] for a survey. Here are the four most popular *c*'s:
  - $d(H, E) \stackrel{\text{def}}{=} \Pr(H | E) - \Pr(H)$
  - $r(H, E) \stackrel{\text{def}}{=} \log \left[ \frac{\Pr(H | E)}{\Pr(H)} \right] \doteq \frac{\Pr(H | E) - \Pr(H)}{\Pr(H | E) + \Pr(H)}$
  - $l(H, E) \stackrel{\text{def}}{=} \log \left[ \frac{\Pr(E | H)}{\Pr(E | \sim H)} \right] \doteq \frac{\Pr(E | H) - \Pr(E | \sim H)}{\Pr(E | H) + \Pr(E | \sim H)} = F(H, E)$
  - $s(H, E) \stackrel{\text{def}}{=} \Pr(H | E) - \Pr(H | \sim E)$

- Question: do these (and other) measures disagree only *conventionally*, or do they disagree in substantive ways?
- Note: mere *numerical* differences between measures are not important, since they need not affect *ordinal* judgments of what is more/less well confirmed than what (by what).
- If two measures  $c_1$  and  $c_2$  agree on *all comparisons*, then we say that  $c_1$  and  $c_2$  are *ordinally equivalent* ( $c_1 \doteq c_2$ ). That is:
 
$$c_1 \doteq c_2 \stackrel{\text{def}}{=} c_1(H, E) \geq c_1(H', E') \text{ iff } c_2(H, E) \geq c_2(H', E')$$
- **Fact.** No two of  $\{d, r, l, s\}$  are ordinally equivalent.
- OK, but do they disagree on *important* applications or in *important* cases? Unfortunately, they disagree *radically*.
- **Fact.** *Almost every* argument/application of Bayesian confirmation in the literature is valid for *only some* choices of  $d, r, l, s$ . I call this *the problem of measure sensitivity*.
- Note: things only get *worse* if you consider still *other* relevance measures (and there are many others out there).

- Here is an incomplete list of examples of the problem of measure-sensitivity. I'm happy to discuss any of these.
  - Hempel's Ravens Paradox [22], [17]
  - Goodman's "Grue" Paradox [8], [39], [20]
  - The Tacking Problem [37], [7], [14], [21]
  - The Confirmational Value of Evidential Variety [25], [13], [1]
  - The Old Evidence Problem [5], [29], [9], [15]
  - The Likelihood Principle/Law [33], [19], [40]
  - The Monty Hall Problem [2]
  - The Virtue of Unification [34], [32]
  - Earman's Old Evidence *Critique* of Bayesianism [7], [16]
  - Gillies's Popper-Miller *Critique* of Bayesianism [23]
- See [11] and [12] for further examples and discussion.
- We need some *normative principles* to narrow the field ...

- Consider the following two propositions concerning a card *c*, drawn at random from a standard deck of playing cards:
 
$$E: c \text{ is the ace of spades. } H: c \text{ is some spade.}$$
- I take it as intuitively clear and uncontroversial that:
  - The degree to which *E* confirms *H*  $\neq$  the degree to which *H* confirms *E*, since  $E \models H$ , but  $H \not\models E$ . [ $c(H, E) \neq c(E, H)$ ]
  - The degree to which *E* confirms *H*  $\neq$  the degree to which  $\sim E$  disconfirms *H*, since  $E \models H$ ,  $\sim E \not\models \sim H$ . [ $c(H, E) \neq -c(H, \sim E)$ ]
- Therefore, *no adequate measure of confirmation*  $c$  should be such that either  $c(H, E) = c(E, H)$  or  $c(H, E) = -c(H, \sim E)$  for all *E* and *H* and for all probability functions Pr. I'll call these two symmetry desiderata  $S_1$  and  $S_2$ , respectively.
- Note: for all *H, E*, and for all Pr,  $r(H, E) = r(E, H)$  and  $s(H, E) = -s(H, \sim E)$ . That is,  $r$  violates  $S_1$  and  $s$  violates  $S_2$ .
- Both  $d$  and  $l$  satisfy these  $S$ -desiderata. This narrows the field to  $d$  and  $l$  [10]. We can narrow the field further still ...

- If we think of inductive logic as a *quantitative generalization* of deductive logic, then the following *logical desideratum* seems natural (it's also implicit in the previous example):
  - (†) **Quantitative Rendition.**  $c(H, E)$  should be *maximal* when  $E \models H$  and  $c(H, E)$  should be *minimal* when  $E \models \sim H$ .
  - (†) **Comparative Rendition.** If  $E \models H$  but  $E' \not\models H'$ , then the following inequality should hold:  $c(H, E) \geq c(H', E')$ .
- The measure  $d$  violates these desiderata. For, when  $E \models H$ :  
 $d(H, E) = \Pr(H | E) - \Pr(H) = 1 - \Pr(H) = \Pr(\sim H)$
- So, if the prior probability of  $H$  is sufficiently high, then (according to  $d$ )  $E$  will confirm  $H$  *very weakly, even if  $E \models H$ .*
- From an inductive-logical point of view, this is absurd, since *the logical strength of a valid argument should not depend on how probable its conclusion is* (or on its truth-value).
- Indeed, of all the Bayesian measures of confirmation that have been used in the literature (*so far* [6]!), only  $l$  (or its ordinal equivalents) satisfy our three desiderata:  $S_1, S_2, (\dagger)$ .

- Seven properties of  $c(H, E)$ , for contingent  $H, E, H', E', X$ :
  - (1) If  $E \models H$  and  $E \not\models H'$ , then  $c(H, E) \geq c(H', E)$ . [19]
  - (2) If  $\Pr(E | H) > \Pr(E | H')$ , then  $c(H, E) > c(H', E)$ . [19]
  - (3) If  $\Pr(H | E) > \Pr(H | E')$ , then  $c(H, E) > c(H, E')$ . [17]
  - (4)  $c(H, E) = c(E, H)$ . [10]
  - (5)  $c(H, E) = -c(H, \sim E)$ . [10]
  - (6)  $c(H, E) = -c(\sim H, E)$ . [10]
  - (7) If  $H \models E$ , then  $c(H, E) > c(H \& X, E)$ , for any  $X$ . [14]

	Does Measure have property?						
Relevance Measure	(1)	(2)	(3)	(4)	(5)	(6)	(7)
$d(H, E)$	NO	NO	YES	NO	NO	YES	YES
$r(H, E)$	NO	YES	YES	YES	NO	NO	NO
$l(H, E)$	YES	NO	YES	NO	NO	YES	YES
$s(H, E)$	NO	NO	NO	NO	YES	YES	YES

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